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June 15, 2006

|   |   |  |
|---|---|--|
| FORM PTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE   |   | ATTORNEY'S DOCKET NUMBER                                   |
| <b>TRANSMITTAL LETTER TO THE UNITED STATES<br/>DESIGNATED/ELECTED OFFICE (DO/EO/US)<br/>CONCERNING A FILING UNDER 35 U.S.C. 371</b>   |   | <b>KAW 133NP</b>   |
|   |   | U.S. APPLICATION NO.<br><b>10/583092</b><br>To Be Assigned |
| INTERNATIONAL APPLICATION NO.<br><b>PCT/JP04/018810</b>   | INTERNATIONAL FILING DATE<br><b>December 16, 2004</b> | PRIORITY DATE CLAIMED<br><b>December 18, 2003</b>          |
| TITLE OF INVENTION<br><b>SEMICONDUCTOR LIGHT EMITTING DEVICE<br/>AND METHOD FOR MANUFACTURING THE SAME</b>  |   |  |
| APPLICANT(S) FOR DO/EO/US<br><b>Norikazu Ito, Masayuki Sonobe, and Daisuke Nakagawa</b>   |   |  |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:   |   |  |
| <ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).</li> <li>4. <input type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19<sup>th</sup> month from the earliest claimed priority date.</li> <li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)).             <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li>6. <input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)) [30 pages].</li> <li>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).             <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input type="checkbox"/> have been transmitted by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input checked="" type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li> <li>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol> |   |  |
| Items 11. To 16. below concern document(s) or information included:   |   |  |
| <ol style="list-style-type: none"> <li>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li>12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>13. <input checked="" type="checkbox"/> A FIRST preliminary amendment (4 pages).<br/>A SECOND or SUBSEQUENT preliminary amendment.</li> <li>14. <input type="checkbox"/> A substitute specification.</li> <li>15. <input type="checkbox"/> A change of power of attorney and/or address letter.</li> <li>16. <input checked="" type="checkbox"/> Other items or information:             <ul style="list-style-type: none"> <li>- 4 sheets of Formal Drawings (Figs. 1A-5)</li> <li>- International Search Report</li> <li>- PCT application publication cover sheet, WO-2005/060013</li> <li>- Claim for priority: The right of foreign priority under 35 U.S.C. § 119 is claimed of JP2003-420923, filed December 18, 2003.</li> </ul> </li> </ol>   |   |  |

**FEE ENCLOSED: \$940.10**  
 Please charge any further  
 fee to our Deposit Account  
 No. 18-0002


|   |               |  |            |  |  |
|---|---------------|--|------------|--|--|
| U.S. APPLICATION NO.<br>To Be Assigned <b>107583092</b>   |               | INTERNATIONAL APPLICATION NO.<br>PCT/JP04/018810 |            | ATTORNEY'S DOCKET NUMBER<br><b>KAW 133NP</b> |  |
| 17. The following fees are submitted:   |               |  |            | <b>CALCULATIONS</b><br>PTO USE ONLY          |  |
| <input checked="" type="checkbox"/> Basic National Stage Fee  |               | \$ 300.00  |            |  |  |
| <input checked="" type="checkbox"/> National Stage Search Fee   |               | \$ 400.00  |            |  |  |
| <input checked="" type="checkbox"/> National Stage Examination Fee  |               | \$ 200.00  |            |  |  |
| <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>   |               |  |            | <b>\$ 900.00</b>                             |  |
| Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e))      |               |  |            | <b>\$ 0.00</b>                               |  |
| CLAIMS  | NUMBER FILED  | NUMBER EXTRA                                     | RATE       |  |  |
| Total claims  | 7- 20 =       | 0  | x \$50.00  | <b>\$ 0.00</b>                               |  |
| Independent claims  | 2- 3 =        | 0  | x \$200.00 | <b>\$ 0.00</b>                               |  |
| Total sheets of specification and drawings (more than 100)  | ( - 100)/50 = | 0  | x \$250.00 | <b>\$ 0.00</b>                               |  |
| MULTIPLE DEPENDENT CLAIM(S) (IF APPLICABLE)   |               |  | + \$360.00 | <b>\$ 000.00</b>                             |  |
| <b>TOTAL OF ABOVE CALCULATIONS =</b>  |               |  |            | <b>\$ 900.00</b>                             |  |
| Reduction of 1/2 for filing by small entity, if applicable.   |               |  |            | <b>\$ 0.00</b>                               |  |
| <b>SUBTOTAL =</b>   |               |  |            | <b>\$ 900.00</b>                             |  |
| Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)) |               |  |            | <b>\$ 000.00</b>                             |  |
| <b>TOTAL NATIONAL FEE =</b>   |               |  |            | <b>\$ 900.00</b>                             |  |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +                              |               |  |            | <b>\$ 40.00</b>                              |  |
| <b>TOTAL FEES ENCLOSED =</b>  |               |  |            | <b>\$ 940.00</b>                             |  |
|   |               |  |            | <b>Amount to be Refunded:</b>                |  |
|   |               |  |            | <b>\$</b>                                    |  |
|   |               |  |            | <b>Charged:</b>                              |  |

Form PTO-2038 in the amount of **\$ 940.00** to cover the above fee is enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 18-0002. A duplicate copy of this sheet is enclosed.

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. SEND ALL CORRESPONDENCE TO:**

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Date: June 15, 2006